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jc962 U.S. PTO

03-29-01

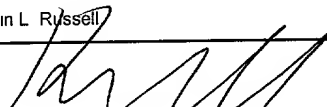
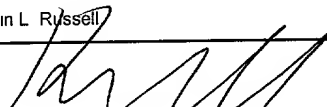
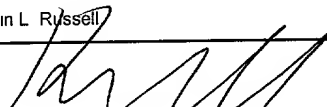
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new non-provisional applications under 37CFR§1.53(b))</small>	Attorney Docket No.	KLR:7146.107
	First Inventor or Application Identifier	ERRICO, James H.
	Title	AUDIOVISUAL MANAGEMENT SYSTEM
	Express Mail Label No.	EL630674634US

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09/819126

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APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO Commissioner for Patents Box Patent Application Washington, D.C. 20231																												
<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status See 37 C.F.R. 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total pages <span style="border: 1px solid black; padding: 2px;">150</span>] <i>(preferred arrangement set forth below)</i> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored R&amp;D - Reference to sequence listing, a table or a computer program listing - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages <span style="border: 1px solid black; padding: 2px;">57</span>]</p> <p>5. Oath or Declaration [Total <span style="border: 1px solid black; padding: 2px;">2</span>] a. <input checked="" type="checkbox"/> Newly executed (unsigned) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.53(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R (2 copies) or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English translation document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO <input type="checkbox"/> Copy of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B) <i>(i) Applicant must attach form PTO/SB/35 or its equivalent.</i></p> <p>17. <input checked="" type="checkbox"/> Other: Check for \$ _____</p>																												
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76.</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: ____/____</p> <p>Prior application information: Examiner _____ Group No./Art Unit _____</p> <p>For CONTINUATION or DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>																													
<p align="center"><b>17. CORRESPONDENCE ADDRESS</b></p> <p><input type="checkbox"/> Customer Number or Bar Code Label <span style="border: 1px solid black; padding: 2px;">(insert customer number or attach bar code label here)</span> or <input checked="" type="checkbox"/> Correspondence address below</p> <table border="1"><tr><td>Name</td><td colspan="3">Kevin L. Russell/Chernoff, Vilhauer, McClung &amp; Stenzel, LLP</td></tr><tr><td>Address</td><td colspan="3">601 S.W. Second Avenue, Suite 1600</td></tr><tr><td>City</td><td>Portland</td><td>State</td><td>Oregon</td></tr><tr><td>Country</td><td>US</td><td>Telephone</td><td>503/227-5631</td></tr><tr><td></td><td></td><td>FAX</td><td>503/228-4373</td></tr><tr><td>Name (print/ type)</td><td>Kevin L. Russell</td><td>Registration No. (Attorney/Agent)</td><td>38,292</td></tr><tr><td>Signature</td><td></td><td>Date</td><td>March 27, 2001</td></tr></table>		Name	Kevin L. Russell/Chernoff, Vilhauer, McClung & Stenzel, LLP			Address	601 S.W. Second Avenue, Suite 1600			City	Portland	State	Oregon	Country	US	Telephone	503/227-5631			FAX	503/228-4373	Name (print/ type)	Kevin L. Russell	Registration No. (Attorney/Agent)	38,292	Signature		Date	March 27, 2001
Name	Kevin L. Russell/Chernoff, Vilhauer, McClung & Stenzel, LLP																												
Address	601 S.W. Second Avenue, Suite 1600																												
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Signature		Date	March 27, 2001																										

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

Application No.  
Filing Date March 27, 2001  
First Named Inventor ERRICO, James H  
Examiner Name  
Group/ Art Unit  
Atty. Docket No. KLR:7146 107

TOTAL AMOUNT OF PAYMENT \$710

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to.

Deposit Account Number 03-1550  
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201	355	Utility filing fee	710
		106	320	206	160	Design filing fee	
		107	490	207	245	Plant filing fee	
		108	710	208	355	Reissue filing fee	
		114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20* = 0	18	0
Indep. Claims 2	-3* = 0	80	0
Multiple Dependent			

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	*Reissue independent claims over original patent

SUBTOTAL (2) \$0

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
561	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 C.F.R. 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

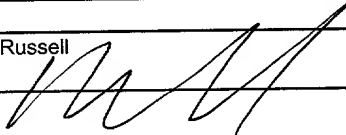
SUBTOTAL(3)

\$0

SUBMITTED BY

Name (print type) Kevin L. Russell

Signature



Reg. No.

38292

Telephone

(503)  
227-5631

Date

3/27/01

Complete (if applicable)

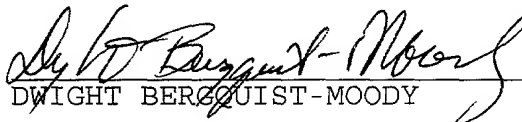
**CERTIFICATE OF MAILING**

**BY "EXPRESS MAIL"**

Express Mail No. EL630674634US

Date of Deposit: March 27, 2001

I hereby certify that the utility patent application attached hereto entitled AUDIOVISUAL MANAGEMENT SYSTEM, James H. Errico, inventor, together with 57 sheets of drawings (labeled), a declaration (unsigned), a utility patent application transmittal form, a fee transmittal form (in duplicate), a check for \$701, this Certificate of Mailing by Express Mail, and a return, acknowledgment postcard is being deposited with the United States Postal Service "Express Mail to Addressee" service on the date indicated above and is addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

  
DWIGHT BERGQUIST-MOODY